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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

11 +refs

Application Number

09/973,862

Filing Date

October 11, 2001

First Named Inventor

AMMANN et al.

Art Unit

1634 / Conf. No. 8811

Examiner Name

Lu, F.

Attorney Docket Number

GP095-06.DV4

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_



Landscape Table on CD



After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):

See Remarks Section

**Remarks**PTO/SB/08a forms (3 pgs. in duplicate - 6 pgs. total);  
Forty (40) references;  
Return/Prepaid PostcardPlease charge the fee due under 37 CFR 1.17(p) to Deposit Account No. 07-0835 in the name of  
Gen-Probe Incorporated.**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

GEN-PROBE INCORPORATED

Signature

Printed name

Charles B. Cappellari

Date

October 5, 2005

Reg. No.

40,937

**CERTIFICATE OF TRANSMISSION/MAILING**I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with  
sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on  
the date shown below:

Signature

Typed or printed name

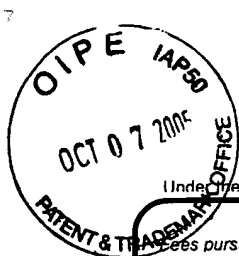
Charles B. Cappellari

Date

October 5, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

**Complete if Known**

Application Number	09/973,862
Filing Date	October 11, 2001
First Named Inventor	AMMANN et al.
Examiner Name	Lu, F.
Art Unit	1634 / Conf. No. 8811
Attorney Docket No.	GP095-06.DV4

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 07-0835 Deposit Account Name: Gen-Probe Incorporated

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 20 or HP = _____ x _____ = _____		
HP = highest number of total claims paid for, if greater than 20.		
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 3 or HP = _____ x _____ = _____		
HP = highest number of independent claims paid for, if greater than 3.		

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____ - 100 = _____	_____ / 50 = _____	(round up to a whole number) x _____	= _____	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Information Disclosure Statement Fee

180.00

**SUBMITTED BY**

Signature	Registration No. (Attorney/Agent) 40,937	Telephone (858) 410-8927
Name (Print/Type) Charles B. Cappellari		Date October 5, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

re Patent Application of: ) Group Art Unit: 1634  
)  
AMMANN *et al.* ) Examiner: Lu, F.  
)  
Serial No. 09/973,862 ) Confirmation No. 8811  
)  
Filed: October 11, 2001 ) Atty. Docket No. GP095-06.DV4  
)  
For: AUTOMATED SYSTEM FOR )  
ISOLATING AND AMPLIFYING A )  
TARGET NUCLEIC ACID )

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(c)**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants bring to the Examiner's attention the references listed on the enclosed PTO/SB/08 form (two copies) for consideration in connection with the examination of the above-identified application. A copy of each cited reference is enclosed herewith.

This Information Disclosure Statement is being submitted under 37 C.F.R. § 1.97(c) more than three months from the filing date of the instant application and after the mailing date of a first Office action on the merits but before the mailing date of either a final action or notice of allowance.

Please charge the fee due under 37 C.F.R. § 1.17(p) to Deposit Account No. 07-0835 in the name of Gen-Probe Incorporated.

10/11/2005 HLE333 00000041 070835 09973862

01 FC:1806 180.00 DA

IDS

Serial No 09/973,862  
Atty. Docket No. GP095-06.DV4

Applicants respectfully request that the Examiner indicate consideration of the cited references by returning a copy of the enclosed PTO/SB/08 form with the Examiner's initials or other appropriate marks.

**Certificate of Mailing**

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is being deposited on the date indicated below with the U.S. Postal Service as priority mail addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

Date: October 5, 2005

By:



Charles B. Cappellari  
Registration No. 40,937  
Attorney for Applicants

GEN-PROBE INCORPORATED  
Patent Department  
10210 Genetic Center Drive  
San Diego, California 92121  
PH: 858-410-8927  
FAX: 858-410-8928

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/973,862
Filing Date	October 11, 2001
First Named Inventor	AMMANN et al.
Art Unit / Conf. No.	1634 / 8811
Examiner Name	Lu, F.
Attorney Docket Number	GP095-06.DV4

Sheet	1	of	3
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**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-4,291,230	09-22-1981	Heiss	
		US-4,647,199	03-03-1987	Ferber <i>et al.</i>	
		US-4,755,055	07-05-1988	Johnson <i>et al.</i>	
		US-4,906,433	03-06-1990	Minekane	
		US-5,043,141	08-27-1991	Wilson <i>et al.</i>	
		US-5,082,628 A	01-21-1992	Andreotti <i>et al.</i>	
		US-5,139,745 A	08-18-1992	Barr <i>et al.</i>	
		US-5,223,218 A	06-29-1993	Fukuoka <i>et al.</i>	
		US-5,240,678 A	08-31-1993	Litsche	
		US-5,340,747 A	08-23-1994	Eden	
		US-5,401,465 A	03-28-1995	Smethers <i>et al.</i>	
		US-5,447,687 A	09-05-1995	Lewis <i>et al.</i>	
		US-5,548,826 A	08-20-1996	Sayers	
		US-5,686,046 A	11-17-1997	Malek <i>et al.</i>	
		US-5,716,583 A	02-10-1998	Smethers <i>et al.</i>	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	6 T
		EP 0 336 309 A2	10-11-1989	Poli-Mak S.p.A.		
		EP 0 409 126 A2	01-23-1991	Hitachi, Ltd.		
		EP 0 411 620 A2	02-06-1991	Hitachi, Ltd.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>	
				Application Number	09/973,862
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				First Named Inventor	AMMANN et al.
				Art Unit / Conf. No.	1634 / 8811
(Use as many sheets as necessary)				Examiner Name	Lu, F.
Sheet	2	of	3	Attorney Docket Number	GP095-06.DV4

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-5,773,662 A	06-30-1998	Imai <i>et al.</i>	
		US-5,885,530 A	03-23-1999	Babson <i>et al.</i>	
		US-5,837,195 A	11-11-1998	Malek <i>et al.</i>	
		US-6,056,923 A	05-02-2000	Diamond <i>et al.</i>	
		US-6,146,592 A	11-14-2000	Kawashima <i>et al.</i>	
		US-6,165,778 A	12-26-2000	Kedar	
		US-6,277,332 B1	08-21-2001	Sucholeiki	
		US-6,377,342 B1	04-23-2002	Coeurveille	
		US-6,436,349 B1	08-20-2002	Carey <i>et al.</i>	
		US-2002/0064867 A1	05-30-2002	Clark <i>et al.</i>	
		US-2002/0098117 A1	07-25-2002	Ammann <i>et al.</i>	
		US-2002/0137197 A1	09-26-2002	Ammann <i>et al.</i>	
		US-2002/0137194 A1	09-26-2002	Ammann <i>et al.</i>	
		US-6,517,782 B1	02-11-2003	Horner <i>et al.</i>	
		US-6,517,783 B2	02-11-2003	Horner <i>et al.</i>	
		US-2003/0054542 A1	03-20-2003	Burns <i>et al.</i>	

FOREIGN PATENT DOCUMENTS						
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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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				First Named Inventor	AMMANN et al.
				Art Unit / Conf. No.	1634 / 8811
(Use as many sheets as necessary)				Examiner Name	Lu, F.
Sheet	3	of	3	Attorney Docket Number	GP095-06.DV4

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		Number-Kind Code <sup>2</sup> (if known)			
		US-6,586,234 B1	07-01-2003	Burg <i>et al.</i>	
		US-6,605,213 B1	08-12-2003	Ammann <i>et al.</i>	
		US-2004/0115796 A1	06-17-2004	Burns	
		US-6,764,649 B2	07-20-2004	Ammann	
		US-6,890,742 B2	05-10-2005	Ammann <i>et al.</i>	
		US-2005/0130198 A1	06-16-2005	Ammann <i>et al.</i>	
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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

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